

HKEx Information Services Limited

(A wholly-owned member of Hong Kong Exchanges and Clearing Limited Group)

16 September 2013
Our Ref: MDD/13/1786

By Email

To: OMD-C Enrolled Vendors and End-Users (collectively “Clients”)

Copy: All Real-time Vendors and End-Users

Dear Sirs,

HKEx Orion Market Data Platform Phase 1a (OMD-C) Rollout on 30 September 2013

Following the successful completion of a series of Market Rehearsals, we are pleased to inform you that OMD-C will be rolled out on **30 September 2013 (Monday)**. Batch 1 clients please find below the rollout arrangements and prepare for the rollout accordingly:

1) Post-Release Test before OMD-C Rollout

A Post-Release Test (PRT) is scheduled for **28 September 2013 (Saturday)** to allow Batch 1 clients to conduct a final check on their production connectivity prior to the production launch. The PRT is **mandatory** for clients who plan to join the rollout on 30 September 2013. The PRT rundown is provided in Enclosure 1 for your reference.

To prepare for the rollout of OMD-C, clients are strongly advised to ascertain the readiness of their systems, relevant applications and operational facilities including service delivery network and client end equipment via thorough verification during the PRT. It is also important to allocate sufficient resources to provide support during the PRT to ensure successful completion of the PRT. Your supporting staff members assigned for the PRT should be reachable by HKEx by phone and email during the PRT.

Please complete and return the enclosed Test Participation Form (Enclosure 2) **no later than 19 September 2013 (Thursday)**. Upon completion of the PRT, you are required to confirm the test result by returning the duly completed Test Result Form (Enclosure 3) **by 6:00pm on the same day of PRT**.

2) Stabilization and Parallel Run Period

Please be reminded that the first 2 weeks after the rollout, i.e. 30 September 2013 to 11 October 2013, is the stabilization period during which all Batch 1 clients ARE REQUIRED to retain their current systems for receiving MDF 3.8, MDF* or BSS OG data. Clients should have their rehearsed contingency procedure well in place so that in case of any severe incident that clients fail to receive production data from OMD-C, they should be able to fallback to their original source such as MDF 3.8, MDF* or BSS OG and continue their operation in a proper manner. Clients should also avoid any unnecessary changes to their SDNet/2 circuits that are connecting to the OMD-C host during the stabilization period. The stabilization period for feed-providing vendors is extended to at least 4 weeks.

Should you have any queries about OMD on-boarding activities, please do not hesitate to contact our Vendor Support Team at (852) 2211 6558 or send your questions via email to OrionMarketData@hkex.com.hk.

Yours sincerely,

Karen Lam
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Vendor Support & Data Management, Market Data
Global Markets Division

Enclosures

1. OMD-C Post-Release Test Rundown
2. OMD-C Post-Release Test Participation Form
3. OMD-C Post-Release Test Result Form